Thesis about the effectiveness of financial regulation and supervision

Data creation/collection, organisation & documentation

1. What data will you be collecting, generating or reusing?

- National and international financial legislation
- Scientific literature on research methods
- Scientific literature on regulation and supervision
- Financial Market Data
- Publications of supervisors
- Practical research into experiences with regulation and supervision

2. Where will the data be stored during the research project?

Home computer and online backups

3. How will the data be organised, described and documented?

By embedded information in thesis

Data security

4. What are the main risks to data security?

Loss of data

5. What measures will be taken to mitigate the risks?

- Other (please specify)
- Physical security

Online backups

6. To whom will access be granted/restricted?

Nobody

7. How often will backups of your data be made and where will they be stored?

Regular backup, stored on private email address

8. If you are using non-digital data, what measures will be taken to assure the safety and usability of these data?

Some books have been used. These are kept at home. In the unlikely event of loss, the books can be replaced.

Data archival and preservation

9. At the end of your research project, what data will be archived, for how long and in what format?

The thesis will be in digital form on Figshare during 10 years. A physical copy will be at the UvA.

10. Where will you archive your data?

- Deposit in UvA/AUAS figshare

11. What metadata standard will be used?

- Dublin Core (when using e.g. UvA/AUAS figshare or DANS EASY)

12. What documentation and/or software code must be deposited with the data?

List of abbreviations and information on provenance, both in thesis

Data publication and access

13. What data will be published as outputs from the project and when?

Publication of the thesis is plannend for 2022

14. If you are not publishing (a selection of) your data, what is the reason?

Not applicable

15. What (license) conditions will apply to the data you will publish?

Public domain

16. How will continued access be guaranteed?

- Copy in UvA/AUAS figshare

Roles, responsibilities and resourcing

17. Who has specific research data management responsibilities during your research project?

The author of the thesis

18. What relevant policies at the University of Amsterdam and partner institutions apply?

- University of Amsterdam Research Data Management policy

19. Which of the following agreements need to be drawn up?

- None

20. How will the adherence to this plan be checked and/or demonstrated, when and by whom?

Per completed part of the thesis by the promotor and copromotor. The design of the thesis has been documented and approved by the UvA

21. What resources will be required to implement this plan and are these available?

Legal and cooperative knowledge

22. Are there any documents related to this plan?

Project plan

23. Which data steward and/or RDM specialist have you discussed this data management plan (DMP) with and when did this discussion take place? (NB: when you will submit this DMP with NWO, a consult with your data steward and/or RDM support is obligatory)

At the start of the PhD research, a project plan was drawn up that was approved by a Uva committee in 2016.